



# Castlegate Fund Services Ltd.

## Fund Questionnaire

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### 1. General Information:

#### A. Proposed name of fund:

#### B. Legal form of fund:

Limited Company:

SICAV:

Limited Partnership:

Trust:

#### C. Please provide details of proposed directors of fund if a company:

Name:

Occupation:

Name:

Occupation:

Name:

Occupation:

#### D. Preferred jurisdiction to domicile the fund:

Malta:

Cayman Islands:

British Virgin Islands:

Other:

#### E. Open Ended fund:

or Closed Ended:

#### F. Type of investments:

Equity:

Fund of funds:

Fixed Income/Bonds:

Venture capital:

Futures & options

Asset backed security:

Real estate:

Commodities:

*Contd.:*

Other – please provide detail:



**G. Structure of Fund:**

Single class/stand-alone:

Master-feeder:

Segregated cell Co.:

Umbrella:

Other – please state:

**Will fund utilise:**

Hot Issues:

Side Pockets:

Equalisation:

Series Accounting:

**If domiciled in Malta will the fund be:**

(i) UCITS:

(ii) Professional Investor Fund:

(iii) Non-UCITS:

**H. Investment objective and trading strategy:****I. Amount of leveraging to be employed:****J. What type of investor will the fund be marketed to:****K. Geographical location of potential investors:***Contd.:*

**L. Will the fund utilise any front end or redemption fees? If so, please state:**

**M. Does the Manager intend to employ agents and therefore have the need to track commissions and/or trail fees? If so, please provide details:**

**N. Proposed launch day of the fund:**

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## **2. Promoter:**

**A. Name:**

**B. Address:**

**C. Contact person:**

**D. Contact Details.:**

Telephone no.:

Facsimile no.:

E-mail address:

Promoter's web page:

*Contd.:*



**H. Does the Promoter manage any other funds? If so, please provide details:**

**I. Investment Advisor or Manager to the Fund, if different to the Promoter:**

**J. Is the Promoter (Manager or Advisor) regulated via another entity or company which is regulated by, or a member of, any statutory body or regulatory organization? If so, please provide details:**

**K. If J is not applicable, then please provide additional information on how the Promoter (Manager or Advisor) is licensed and regulated:**

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### **3. Service Providers to the Fund:**

**A. Custodian or Prime Broker:**

**B. Promoter's Legal Counsel:**

At home:

Malta, BVI, Cayman  
or other:

*Contd.:*



**C. Auditor:****D. Will fund be listed on a stock exchange?**

Yes:                      No

If yes, please state the preferred exchange:

**E. Will fund publish it's share price?**

Yes                      No

If yes, state in which publication or media:

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**4. Statistical Information:****A. Base currency of fund:**

USD                      Euro                      Sterling

Other

**B. Estimated size of fund (net assets):**

On launch:

After 1 year

*Contd.:*



**C. Estimated no. of investors:**

On launch:

After 1 year:

**D. i. Minimum initial subscription:****ii. Minimum subsequent subscription:****E. Estimated no. of securities in fund's portfolio:****F. Estimated no. of trades per week:****G. Frequency of NAV calculations:**

Daily:	Weekly:	Monthly:
Quarterly:	Bi –annually:	Annually:

**H. Frequency of subscriptions:**

Daily:	Weekly:	Monthly:
Quarterly:	Bi –annually:	Annually:

**I. Frequency of redemptions:**

Daily:	Weekly:	Monthly:
Quarterly:	Bi –annually:	Annually:

**J. Lock up period (if any):***Contd.:*

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## 5. Investor Reports

### A. Annual audited financial statements required:

Yes:

No:

### B. Unaudited financial statements required:

Yes:

No:

If yes:

Quarterly:

Bi-annually:

Annually:

### C. Frequency of Statements of holding to investors:

Monthly:

Quarterly:

Bi –annually:

Annually:

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## 6. Services Requested of Castlegate Investment Services Limited:

Net asset value calculation and accounting:

Shareholder, registrar &amp; transfer agent:

Directorship Services:

Local Representative (Malta):

Company Secretary:

Registered Office/Registered Agent:

Compliance Officer:

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Signed: \_\_\_\_\_ Print Name: \_\_\_\_\_

